

Declaration for Patent Application

Docket Number: 0725.0100000/SLF/GKT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled Adjuvant For a Vaccine Composition, the specification of which is attached hereto unless the following box is checked:

- ☒ was filed on 14 November 1995;
as United States Application Number or PCT International Application No. PCT/FR95/01495;
and was amended on May 14, 1997 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application, which designated at least one country other than the United States listed below, and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application(s) | | | Priority Claimed |
|--|--|---|---|
| <u>94/13606</u> (Application No.) | <u>France</u> (Country) | <u>14 November 1994</u> (Day/Month/Year Filed) | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| <u> </u> (Application No.) | <u> </u> (Country) | <u> </u> (Day/Month/Year Filed) | <input type="checkbox"/> Yes <input type="checkbox"/> No |

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

| | |
|--|--|
| <u> </u> (Application No.) | <u> </u> (Filing Date) |
| <u> </u> (Application No.) | <u> </u> (Filing Date) |

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56 that became

available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application No.) (Filing Date) (Status - patented, pending, abandoned)

(Application No.) (Filing Date) (Status - patented, pending, abandoned)

Send Correspondence to:

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
1100 New York Avenue, N.W.
Suite 600
Washington, D.C. 20005-3934

Direct Telephone Calls to:

(202) 371-2600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | |
|-------------------------------------|---|-----------------------------------|
| Full name of sole or first inventor | <u>Jean HAENSLER</u> | |
| Inventor's signature | <u>Jean Haensler</u> | <u>24 June 97</u> ^{Date} |
| Residence | <u>St. Genis-les-Ollières, France</u> <u>FA X</u> | |
| Citizenship | France | |
| Post Office Address | 17, rue J. Piccandet | |
| | 69290 St. Genis-les-Ollières, France | |

(Supply similar information and signature for subsequent joint inventors, if any)

| | | | |
|------|------------------------------|------------------------------|-------------------|
| 2-10 | Full name of second inventor | Emmanuelle TRANNOY | |
| | Second Inventor's signature | Emanuelle Trannoy | 24 June 1997 Date |
| | Residence | Lyon, France FFX | |
| | Citizenship | France | |
| | Post Office Address | 4, rue Pauline-Marie-Jaricot | |
| | | 69005 Lyon, France | |
| 3-10 | Full name of third inventor | Jorge RONCO | |
| | Third inventor's signature | Jorge Ronco | 24 June 1997 Date |
| | Residence | Lyon, France FFX | |
| | Citizenship | France | |
| | Post Office Address | 30, rue G. Martin Witkowski | |
| | | 69005 Lyon, France | |

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SKGf Rev. 4/1/96

(Supply similar information and signature for subsequent joint inventors, if any)

DO NOT FORWARD
TO ASSIGNMENT BRANCH
NOT FOR RECORDATION

Assignment

COPY P120-91126.06

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned: Jean Haensler, Emmamuelle Trannoy and Jorge Ronco, the undersigned hereby sell(s) and assign(s) to Pasteur Merieux Serums & Vaccins (the Assignee) their entire right, title and interest

check applicable box(es) ☒ for the United States of America (as defined in 35 U.S.C. § 100) ☒ and throughout the world,

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in the invention(s) known as Adjuvant For a Vaccine Composition for which application(s) for patent in the United States of America has (have) been executed by the undersigned on _____ (also known as United States Application No. (U.S. National Phase of PCT/FR95/01495), filed (PCT File Date: 14 November 1995)), in any and all applications thereon, in any and all Letters Patent(s) therefor, and in any and all reissues, extensions, renewals, reexaminations of such applications or Letters Patent(s) and divisional and continuation applications thereof, to the full end of the term or terms for which such Letters Patent(s) issue, such entire right, title and interest to be held and enjoyed by the above-named Assignee to the same extent as they would have been held and enjoyed by the undersigned had this assignment and sale not been made.

The undersigned agree(s) to execute all papers necessary in connection with the application(s) and any continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference that may be declared concerning the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned hereby represents that the undersigned has full right to convey the entire interest herein assigned, and that the undersigned has not executed, and will not execute, any agreement in conflict therewith.

The undersigned hereby grant(s) Robert Greene Sterne, Esquire, Registration No. 28,912; Edward J. Kessler, Esquire, Registration No. 25,688; Jorge A. Goldstein, Esquire, Registration No. 29,021; Samuel L. Fox, Esquire, Registration No. 30,353; David K.S. Cornwell, Esquire, Registration No. 31,944; Robert W. Esmond, Esquire, Registration No. 32,893; Tracy-Gene G. Durkin, Esquire, Registration No. 32,831; Michele A. Cimbala, Esquire, Registration No. 33,851; Michael B. Ray, Esquire, Registration No. 33,997; Robert E. Sokohl, Esquire, Registration No. 36,013; Eric K. Steffe, Esquire, Registration No. 36,688; Andrea G. Reister, Esquire, Registration No. 36,253; and Daniel N. Yannuzzi, Esquire, Registration No. 36,727, of STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C., 1100 New York Avenue, N.W., Suite 600, Washington, D.C. 20005-3934, power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF executed by the undersigned on the date(s) opposite their name(s).

Date: 24 June 1997 Signature of Inventor: Jean Haensler
Date: 24 June 1997 Signature of Inventor: Emmanuelle Trannoy
Date: 24 June 1997 Signature of Inventor: Jorge Ronco

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Power of Attorney From Assignee with Delegation

Pasteur Merieux Sérums & Vaccins, having a principal place of business at 58, avenue Leclerc, 69348 Lyon Cedex 07, France, is assignee of the entire right, title, and interest for the United States of America (as defined in 35 U.S.C. §100), by reason of an Assignment to the Assignee executed on June 24, 1997 of an invention known as Adjuvant For a Vaccine Composition (Attorney Docket No. 0725.0100000/SLF/GKT), which is disclosed and claimed in a patent application of the same title by the inventor(s) Jean Haensler, Emmanuelle Trannoy and Jorge Ronco (said application filed on (PCT File Date: 14 November 1995) at the U.S. Patent and Trademark Office, having Application Number (U.S. National Phase of PCT/FR95/01495)).

The Assignee hereby appoints the following U.S. attorneys to prosecute this application and any continuation, divisional, continuation-in-part, or reissue application thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith: Robert Greene Sterne, Registration No. 28,912; Edward J. Kessler, Registration No. 25,688; Jorge A. Goldstein, Registration No. 29,021; Samuel L. Fox, Registration No. 30,353; David K.S. Cornwell, Registration No. 31,944; Robert W. Esmond, Registration No. 32,893; Tracy-Gene G. Durkin, Registration No. 32,831; Michele A. Cimbala, Registration No. 33,851; Michael B. Ray, Registration No. 33,997; Robert E. Sokohl, Registration No. 36,013; Eric K. Steffe, Registration No. 36,688; Andrea G. Reister, Registration No. 36,253; and Daniel N. Yannuzzi, Registration No. 36,727. The Assignee hereby grants said attorneys the power to insert on this Power of Attorney any further identification that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

The Assignee hereby authorizes the U.S. attorneys named herein to accept and follow instructions from Cabinet Lavoix, 2, place d'Estienne d'Orves, 75441 Paris Cedex 09, France as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the Assignee. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the Assignee.

Send correspondence to:

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
1100 New York Avenue, N.W.
Suite 600
Washington, D.C. 20005-3934
U.S.A.

Direct phone calls to 202-371-2600.

FOR: Pasteur Merieux Sérums & Vaccins

SIGNATURE: _____

Danièle Kerneis

BY: Danièle KERNEIS

TITLE: Industrial Property Engineer

DATE: _____

June 27, 1997

PASTEUR MÉRIEUX
Sérums & Vaccins

**58, Avenue Leclerc
69007 LYON - FRANCE**

Certificate Under 37 C.F.R. § 3.73(b)

Applicant(s): Jean Haensler, Emmanuelle Trannoy and Jorge Ronco

Application No: (U.S. National Phase of PCT/FR95/01495) Filed: (PCT File Date: 14 Nov. 1995)

For: Adjuvant For a Vaccine Composition

Pasteur Merieux Sérums & Vaccins, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An Assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[or]

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: June 27., 1997

Name: PASTEUR MERIEUX Sérums et Vaccins

Title: Industrial Property Engineer

Signature: Danièle Kercis

PASTEUR MÉRIEUX
Sérums & Vaccins
68, Avenue Leclerc
69007 LYON - FRANCE